

UTILITY PATENT APPLICATION TRANSMITTAL

☒ DUPLICATE

Address to: Box PATENT APPLICATION Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket No. CHAN3228/EM	First Named Inventor (or identifier) Edward Y. CHANG
	Total Pages 74	

17548 U.S. PTO
10/699839



Transmitted herewith is a patent application under 37 CFR 1.53(b).

Entitled: **Growth of GaAs Epitaxial Layers on Si Substrate By Using A Novel GeSi Buffer Layer**

- ☒ 1. Submitted herewith are the following:
- 13 pages of specification, including claims and Abstract.
 - 3 sheets of FORMAL drawings (Figs. 1-6).
 - 26 claims.
 - 1 Oath/Declaration signed by each inventor.
 - 1 Application Data Sheet.
 - 1 Assignment of the invention to National Chiao-Tung University, Hsinchu City, Taiwan, R.O.C., Cover Sheet, and payment of the \$40 recordal fee.
 - 1 certified copy of Taiwan application no. 092120501. Priority is claimed.
 - 1 certified copy of Taiwan application no. 092120502. Priority is claimed.
 - 1 check in the amount of \$624 (\$385- Filing Fee; \$54- Extra Dependent Claim Fee; \$145- Multiple Dependent Claim Fee; \$40- Assignment Recordation Fee).
- ☒ 2. SMALL ENTITY STATUS IS ASSERTED pursuant to 37 CFR 1.27 for this application.
- ☒ 3. The Commissioner is authorized to credit any overpayment and charge any deficiency in any fees required under 37 CFR 1.16 and/or 1.17, to Deposit Account No. 02-0200.
- ☐ 4. Insert before the first sentence of the specification: - - This application claims the benefit of provisional application number _____ filed _____. - -
- ☐ 5. Insert before the first sentence of the specification: - - This application is a Continuation-in-part of nonprovisional application number _____ filed _____. - -
- ☐ 6. Other: _____

The registered practitioners representing applicant(s) are J. Ernest Kenney, Reg. No. 19,179; Eugene Mar, Reg. No. 25,893; Richard E. Fichter, Reg. No. 26,382; Thomas J. Moore, Reg. No. 28,974; Joseph DeBenedictis, Reg. No. 28,502; and Benjamin E. Urcia, Reg. No. 33,805.

THE FILING FEE IS CALCULATED AS FOLLOWS:				Basic Fee:	\$770.00	
Total Claims:	26	- 20 =	6.00	X \$18 =	\$108.00	
Independent Claims:	3	- 3 =	0.00	X \$86 =	\$0.00	
Correspondence Address: BACON & THOMAS, PLLC 625 Slaters Lane, 4 th Floor Alexandria, VA 22314-1176 <div style="text-align: center; font-size: 1.2em;">23364</div> <div style="text-align: center; font-size: 0.8em;">CUSTOMER NUMBER</div>				Multiple Dependent Claim (add \$290.00):		\$290.00
				Subtotal:		\$1,168.00
				50% Reduction if Small Entity Status:		\$584.00
Phone: 703-683-0500 Fax: 703-683-1080				Total:	\$584.00	
Date:	Name:		Signature:		Reg. No.	
November 4, 2003	Eugene Mar				25,893	